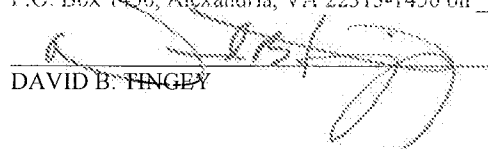


**CERTIFICATE OF TRANSMISSION/MAILING**

Docket. No.: 11640.4

I hereby certify that this correspondence is being transmitted to the USPTO electronically or via facsimile at (571) 273-8300 or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 3, 2009

  
DAVID B. FINGLEY**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Kendall W. Prince et al.  
Title : WINDOW FACING SYSTEM WITH DECORATIVE  
OVERLAY AND METHOD FOR USING THE  
SAME  
Serial. No. : 10/655,816  
Filed : September 5, 2003  
Examiner : Phi Dieu Tran A  
Art Unit : 3633  
Conf. No. : 7157  
Docket No. : 11640.4

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Listed on an attached Form PTO/SB/08A is information known to Applicant. Applicant asks that the attached Form PTO/SB/08A be initialed and returned in accordance with MPEP §609. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Applicant notes that citation number 1 in the attached listing is a U.S. application that is commonly owned with the above-identified patent application and may contain subject matter that is related to the subject matter of the above-identified patent application. The Examiner's attention is therefore directed to the prosecution history of the foregoing related U.S. patent application and prior art cited in this patent application; Applicant assumes the Examiner has access to all of the prosecution history, including the cited prior art, of this patent application, but Applicant will provide copies of all or any part of the prosecution history of or prior art from this patent application upon request.

- ☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ It is being filed within 3 months of the application filing date (other than the filing date of a continued prosecution application under §1.53(d)); or
  - ☐ It is being filed within 3 months of entry of a national stage; or
  - ☐ It is being filed before the mail date of the first Office Action on the merits; or
  - ☒ It is being filed before the mail date of the first Office Action after the filing of a request for continued examination under §1.114.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed three months after the filing date of a national application or entry of the national stage in an international application and the mailing date of a first Office action on the merits but before the mailing date of the earlier of a final office action, a notice of allowance, or an action that otherwise closes prosecution in the application, then:
- ☐ a statement as specified in §1.97(e) is provided below; **or**
  - ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:
- a statement as specified in §1.97(e) is provided below; **and**
  - a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(e)(1). The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement; or
- ☐ 37 C.F.R. §1.97(e)(2). The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

- Fee: ☒ No fee is due.
- ☐ A check that includes \$\_\_\_\_\_ for the above-identified fee(s) is enclosed.
- ☐ Payment is provided by credit card.
- ☐ This statement is filed as one part of a multi-part submission. The fee for the complete submission was included in an electronically filed submission on \_\_. Applicants request the foregoing fee be accepted for this statement. (See OG Notice dated 17 September 2002.)
- ☒ The Director is hereby authorized to charge payment for any additional fee required with this communication or credit any overpayment to Deposit Account No. 500843.

Respectfully submitted,

Date: March 5, 2009

By: 

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